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PTO/SB/05 (11-00)

Approved for use through 10/31/02. OMB 0851-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3930320394.00

First Inventor Hironari KOBAYASHI

Title APPARATUS AND METHOD FOR RECORDING/REPRODUCING OPERATION  
INFORMATION IN ASSOCIATION WITH VIDEO OR MUSIC

Express Mail Label No. EV147810370US

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: July 16, 2003

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Marco Jimenez

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450, Alexandria, VA 22313-1450

17575 U.S. Pro  
10/26/02  
07/16/03

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 44]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 7]
5. ☒ Oath or Declaration [Total Pages 3]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (where there is an assignee) ☐ Power of Attorney By Assignee
11. ☐ English Translation document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citation(s): 1
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
Should be specifically itemized
15. ☒ Certified Copy of Priority Document  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner \*

Group / Art Unit: \*

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or ☐ Correspondence address below



\* 2 5 2 2 4 \*

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Name (Print/Type)	David L. Fehrman	Registration No. (Attorney/Agent)	28,600		
Signature			Date July 16, 2003		

**FEE TRANSMITTAL  
FOR FY 2003**

Patent fees are subject to annual revision.

☐ Applicant Claims Small Entity Status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$1254.00)

**Complete if Known**

Application Number Not yet assigned

Filing Date Concurrently herewith

First Named Inventor Hironari KOBAYASHI

Examiner Name Not yet assigned

Group Art Unit Not yet assigned

Attorney Docket No. 393032039400

**METHOD OF PAYMENT**☐ Check ☐ Credit Card ☐ Money Order ☐ OtherDeposit  
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Number

03-1952

Deposit  
Account  
Name

Morrison &amp; Foerster LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) required Under 37 CFR 1.16 and 1.17☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code Fee (\$)

1001 750  
1002 330  
1003 520  
1004 750  
1005 160

Fee Code Fee (\$)

2001 375  
2002 160  
2003 260  
2004 375  
2005 80

Fee Description

Utility filing fee  
Design filing fee  
Plant filing fee  
Reissue filing fee  
Provisional filing fee

750

SUBTOTAL (1) (\$750.00)

**2. EXTRA CLAIM FEES**

Total Claims	20	- 20 =	0	x	18	=	\$0
Independent Claims	9	- 3 =	6	x	84	=	\$504

Multiple Dependent

= \$

Large Entity Small Entity

Fee Code Fee (\$)

1202 18  
1201 84  
1203 280  
1204 84  
1205 18

Fee Code Fee (\$)

2202 9  
2201 42  
2203 140  
2204 42  
2205 9

Fee Description

Claims in excess of 20  
Independent claims in excess of 3  
Multiple dependent claims, if not paid  
\*\*Reissue independent claims over original patent  
\*\*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$504.00)

\*\* or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity

Small Entity

Fee Code Fee (\$)

1051 130

1804 920\*

1805 1,840\*

1251 110

1252 410

1253 930

1254 1,450

1255 1,970

1401 320

1402 320

1403 280

1451 1,510

1452 110

1453 1,300

1501 1,300

1502 470

1503 630

1460 130

1807 50

1806 180

8021 40

1809 750

1810 750

1801 750

1802 900

Fee Code Fee (\$)

2051 65

1804 920\*

1805 1,840\*

2251 55

2252 205

2253 465

2254 725

2255 985

2401 160

2402 160

2403 140

1451 1,510

2452 55

2453 650

2501 650

2502 235

2503 315

1460 130

1807 50

1806 180

8021 40

2809 375

2810 375

2801 375

1802 900

Fee Description

Surcharge - late filing fee or oath

Requesting publication of SIR prior to Examiner action

Requesting publication of SIR after Examiner action

Extension for reply within first month

Extension for reply within second month

Extension for reply within third month

Extension for reply within fourth month

Extension for reply within fifth month

Notice of Appeal

Filing a brief in support of an appeal

Request for oral hearing

Petition to institute a public use proceeding

Petition to revive - unavoidable

Petition to revive - unintentional

Utility issue fee (or reissue)

Design issue fee

Plant issue fee

Petitions to the Commissioner

Petitions related to provisional applications

Submission of Information Disclosure Stmt

Recording each patent assignment per properties (times number of properties)

Filing a submission after final rejection (37 CFR § 1.129(a))

For each additional invention to be examined (37 CFR § 1.129(b))

Request for Continued Examination (RCE)

Request for expedited examination of a design application

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$0)

**SUBMITTED BY**

Name (Print/Type)

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Registration No.  
(Attorney/Agent)

28,600

Complete (if applicable)

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(213) 892-5601

Signature

David L. Fehrman

Date

July 16, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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